



6

BOX SEQUENCE
PATENT
2750-1096P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Nickolai ALEXANDROV et al. Conf: 7984
Appl. No.: 09/637,780 Group: UNASSIGNED
Filed: August 11, 2000 Examiner: UNASSIGNED
For: SEQUENCE-DETERMINED DNA FRAGMENTS AND
CORRESPONDING POLYPEPTIDES ENCODED
THEREBY

SMALL ENTITY TRANSMITTAL FORM

Assistant Commissioner for Patents
Washington, DC 20231

June 22, 2001

Sir:

Transmitted herewith is an amendment in the above-identified application.

A Verified Statement Claiming Small Entity Status

☐ is submitted herewith (☐ Original ☐ Photocopy).

☒ was previously submitted on August 11, 2000.

☐ The enclosed document is being transmitted via the Certificate of Mailing provisions of 37 C.F.R. § 1.8.

☐ The enclosed document is being transmitted via facsimile.

The following items are additionally transmitted herewith along with the amendment:

☒ Two (2) identical CD-Rs, as required by §1.52(e)(4), created using IBM-PC, MS-Windows operating system. The files contained on the CD-R are as follows:

| File Name | Date of Creation | File Size |
|--|------------------|-----------|
| 2001-06-22 2750-1096P Sequence Listing.txt | June 21, 2001 | 968 KB |
| 2750-1096P Protein Domain Table.txt | June 21, 2001 | 2.02 MB |

☒ One (1) CD-R, as required by §1.821(e), containing the Computer Readable Form (CRF) of the Sequence Listing created using IBM-PC, MS-Windows operating system. The files contained on the CD-R are as follows:

| File Name | Date of Creation | File Size |
|--|------------------|-----------|
| 2001-06-22 2750-1096P Sequence Listing.txt | June 21, 2001 | 968 KB |



BOX MISSING PARTS
PATENT
2750-1096P

Sector #
Box #
50

IN THE U.S. PATENT AND TRADEMARK OFFICE

#3

Applicant: Nickolai ALEXANDROV et al. Conf: 7984
Appl. No.: 09/637,780 Group: UNASSIGNED
Filed: August 11, 2000 Examiner: UNASSIGNED
For: SEQUENCE-DETERMINED DNA FRAGMENTS AND
CORRESPONDING POLYPEPTIDES ENCODED
THEREBY

THIS IS NOT A NEW PATENT APPLICATION
LETTER SUBMITTING DOCUMENTS
FOR COMPLETION OF AN APPLICATION
PURSUANT TO 37 C.F.R. § 1.53(f) and/or § 1.53(d)

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

June 22, 2001

Sir:

The application papers for the above-identified application were originally filed on August 11, 2000 and the application was assigned Appl. No. 09/637,780.

DOCUMENTATION

- ☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration and Power of Attorney of the inventor(s) (☒ original ☐ photocopy), necessary for completing the filing requirements in connection with the above-identified application.
- ☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on August 11, 2000, including any amendments thereto (if applicable) filed on even date therewith.

06/26/2001 SSANDARA 00000004 501055 09637780

02 FC:217

445.00 CH

- ☒ The undersigned hereby declares that "Attorney Docket No. 2750-1096P on page 1 of the inventors' Declaration and Power of Attorney, filed on June 22, 2001, corresponds to Appl. No. 09/637,780, filed August 11, 2000, entitled 'SEQUENCE-DETERMINED DNA FRAGMENTS AND CORRESPONDING POLYPEPTIDES ENCODED THEREBY.'"
- ☐ Attached are _____ () sheet(s) of formal drawings.
- ☒ Attached is a copy of the Notice to File Missing Parts of Nonprovisional Application.
- ☐ Attached is an English language translation of the above-identified application that was filed in a foreign language.
- ☐ See the attached Translator's Verification; or
- ☐ The undersigned states that the English translation attached hereto is a true and correct translation of the application as originally filed in a foreign language.
- ☐ Attached hereto is a Statement Claiming Small Entity Status (☐ original ☐ photocopy).
- ☐ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

FEES

The Government Filing Surcharge(s) (37 C.F.R. § 1.16(e) and/or § 1.17(k)) and the basic Government Filing Fee(s) (37 C.F.R. § 1.16(a)-(d)) (if applicable) is/are paid by deposit account and calculated as follows:

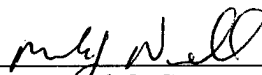
- ☐ Basic Filing Fee(s): \$0.00 (37 C.F.R. § 1.16(a)-(d))
- ☒ The Government Filing Surcharge under 35 U.S.C. § 1.16(e)) for late filing of filing fee, oath and/or declaration:
- ☐ Large Entity - \$130.00
- ☒ Small Entity - \$ 65.00)
- ☐ The Government Filing Surcharge under 37 C.F.R. § 1.17(k)) for filing of translation of non-English Specification in the amount of \$130.00:
- ☐ was previously paid for concurrently with the filing of the application on
- ☐ is paid by deposit account.

- ☐ No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Nonprovisional Application. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.
- ☒ Applicant hereby respectfully petitions for a three (3) month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$445.00 is paid by deposit account.
- ☐ A check in the amount of \$0.00 to cover the basic filing fee(s), surcharge fee(s), and any extension of time fees (if applicable) is enclosed.
- ☒ Please charge Deposit Account No. 50-1055 in the amount of \$510.00. A triplicate copy of this transmittal form is enclosed.

If the Primary Deposit Account No. 50-1055 is deficient and non-payment will result in a loss of rights, the Commissioner is hereby authorized in this, concurrent and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

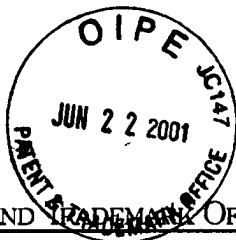
BIRCH, STEWART, KOLASCH & BIRCH, LLP

By  #36,623
Raymond C. Stewart, #21,066

RCS/DRN/CAV
2750-1096P

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

Attachments



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/637,780 | 08/11/2000 | Nickolai Alexandrov | 2750-1096P |

2292
BIRCH STEWART KOLASCH & BIRCH
8110 GATEHOUSE ROAD
SUITE 500 EAST
FALLS CHURCH, VA 22042

FORMALITIES LETTER

OC000000005695437

Date Mailed: 01/22/2001

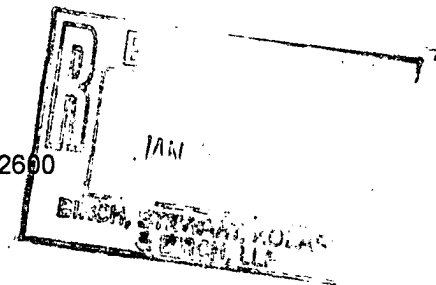
NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
 - General information in paper copy is missing.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-1123
- For CRF Submission Help, call (703) 308-4212
- PatentIn Software Program Support
- - For Technical Assistance, call (703) 287-0200
- - To Purchase PatentIn Software, call (703) 306-2600



- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE